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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/938,878	
	Filing Date	24 August 2001	
	First Named Inventor	Patil et al.	
	Art Unit	1637	
	Examiner Name	Fredman, Jeffrey N.	
Total Number of Pages in This Submission	4	Attorney Docket Number	200/1004-20

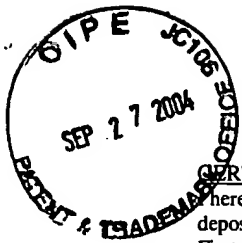
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Deana A. Arnold, Ph.D. Reg. No.: 52,567
Signature	
Date	Sept. 24, 2004

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Signed: *Deana A. Arnold*

Deana A. Arnold

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

PATIL, N. et al.

Application No.: 09/938,878

Filed: August 24, 2001

For: Methods for Reducing Complexity of  
Nucleic Acid Samples

Examiner: Fredman, Jeffrey N.

Art Unit: 1637

**SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT  
UNDER 37 CFR §1.97 AND §1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on the attached form PTO-1449 are being called to the attention of the Examiner. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement

and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

☒ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)

-- OR --

☐ (2) It is being filed within 3 months of entry of a national stage

-- OR --

☐ (3) It is being filed before the mail date of the first Office Action on the merits

-- OR --


☒ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

☒ *Fee Authorization.* The Commissioner is hereby authorized to charge the above-referenced fees of \$0.00 and/or charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 50-2043 (Docket No. 200/1004-20).

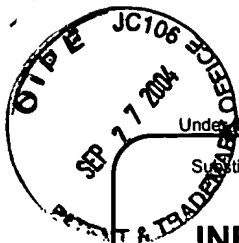
Respectfully submitted,

PERLEGEN SCIENCES, INC.

Dated: Sept. 24, 2004

By:   
Deana A. Arnold, Ph.D., Reg. No. 52,567

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Mountain View, CA 94043  
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

### Complete if Known

Application Number	09/938,878
Filing Date	24 August 2001
First Named Inventor	Patil et al.
Art Unit	1637
Examiner Name	Fredman, Jeffrey N.
Attorney Docket Number	200/1004-20

Sheet 1 of 1

### U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
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### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	A	WO 00/24939	05-04-2000	Affymetrix, Inc		
	B	WO 99/55913	11-04-1999	Sidney Kimmel CancerCenter		

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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